

quence of these additional effects there may be large differences between observed and theoretical directions, and curved dislocation lines may occur. In such cases the determination of Burgers vectors from the directions of dislocation lines is questionable or impossible.

### Conclusion

This study gives a further confirmation that the directions of grown-in straight dislocation lines are in many cases predominantly influenced by the tendency to minimize the elastic dislocation energy per unit growth length. Some consequences resulting from this theory and concerning the effect of elastic anisotropy and the determination of Burgers vectors, as well as the influence of the lattice structure are discussed. These subjects, however, require further investigations which this work may stimulate.

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## On the Reliability of the $\Sigma_2$ Relation. I. Real Structures in $P2_1/c$

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It is shown for real structures in  $P2_1/c$  that the percentages of failures of the  $\Sigma_2$  relation do not agree with the theoretical values based on the probability formula. Moreover there is some evidence that the probability of a multiple-sign relation is less reliable than that of a single-sign relation. In practice therefore, the probability formula cannot be used to estimate the reliability of a sign indication. An alternative method is proposed, in which only the ten to twenty triplets with highest *EEE* product are used directly. All other signs have to be determined by at least two independent sign indications. It is also shown that in difficult cases the strengthened quartet relation [Schenk (1973). *Acta Cryst.* **A29**, 77–82] is very helpful.

### Introduction

During the last decade the number of successful structure determinations by means of direct phasing has increased enormously. The  $\Sigma_2$  relation

$$\Phi_H = \frac{\sum_K |E_K E_{H-K}| (\Phi_K + \Phi_{H-K})}{\sum_K |E_K E_{H-K}|} \quad (1)$$

has proved to be the most successful phase relationship. In centrosymmetric space groups a probability formula (6) is associated with the  $\Sigma_2$  relation.

In our laboratory a large number of centrosymmetric structures have been solved by means of the symbolic-addition method (Karle & Karle, 1966), in which a rule of thumb based on the probability formula (6) is used for the acceptance of sign indication. The rule says that a sign indication is accepted if the probability (6) fulfills the condition  $P_+(H) > A$  or  $P_+(H) < 1 - A$ , in which for instance  $A = 0.97$ , as suggested by Karle & Karle (1966). If the probabilities from (6) are reliable then for all structures the same value of  $A$  should lead to a correct sign determination. However, in our experience  $A$  must be given a wide variety of values

Table 1. Crystal data and intensity statistics of nine structures in  $\bar{P}2_1/c$ 

Number of the structure Molecular formula Space group $a$ (Å) $b$ $c$ $\beta$ (°) $Z$	Theoretical values for centrosymmetric structures								
	1	2	3	4	5	6	7	8	9
$C_{34}O_6N_2H_{36}$ 9-288 20-976 14-866 100-30	$C_{10}H_{16}O_2$ $P2_1/n$ 9-487 8-260 22-472 95-94	$C_{14}H_{22}N_2O_2$ $P2_1/n$ 8-383 23-999 7-320 111-48	$C_{15}H_{18}O_2$ $P2_1/c$ 9-043 9-812 15-290 123-14	$C_9H_{12}N_3O_6$ $P2_1/c$ 13-304 6-350 13-263 105-30	$C_9H_{12}N_3O_6$ $P2_1/c$ 6-423 6-937 24-416 99-88	$C_{19}H_{23}NO_5 \cdot CH_3NO_2$ $P2_1/n$ 13-847 6-154 26-141 106-36	$C_{30}H_{36}O_2$ $P2_1/n$ 8-078 34-104 7-387 118-76	$C_{35}H_{16}$ $P2_1/c$ 10-173 10-241 16-722 96-52	
Number of non-hydrogen atoms in the unit cell	84	72	56	72	72	68	112	88	100
Number of measured reflexions	3576	2377	2536	2444	2006	1999	2805	3298	3203
Number of non-zero reflexions	2021	1585	1968	1772	1837	1393	1567	2790	2600
$\sigma_2^2 \sigma_2^{-3/2}$	0-077	0-106	0-115	0-131	0-118	0-122	0-106	0-104	0-098
$\langle  E  \rangle$	0-652	0-707	0-772	0-675	0-779	0-753	0-676	0-754	0-744
$\langle  E^2 - 1  \rangle$	1-282	1-112	1-015	1-096	1-040	1-027	1-170	1-025	1-026
$\langle  E^4  \rangle$	1-064	0-979	1-015	0-902	1-015	1-012	1-033	0-948	0-952
$ E  > 3.0$ (%)	2-18	0-93	0-47	0-74	0-35	0-60	0-61	0-61	0-47
$ E  > 2.5$	3-30	2-69	1-74	2-05	1-69	1-35	2-39	1-64	1-90
$ E  > 2.0$	6-60	5-85	4-93	5-16	5-53	5-00	6-38	4-28	5-00
$ E  > 1.8$	8-67	8-08	7-89	7-49	7-98	7-85	9-13	7-40	6-99
$ E  > 1.6$	11-47	11-19	11-59	10-72	12-21	11-66	12-98	11-28	10-05
$ E  > 1.4$	14-40	15-48	16-29	14-44	17-65	16-51	17-90	15-55	14-95
$ E  > 1.2$	18-62	20-28	23-50	20-05	23-93	23-76	24-96	21-65	22-01
$ E  > 1.0$	24-89	28-36	32-93	27-62	32-10	33-52	32-69	30-05	30-35

(from 0.98 up to 0.99999) in order to arrive at the correct solution, so that the usefulness of (6) in real structure determinations is doubtful.

The purpose of this paper is to present full details of the  $\sum_2$  relationships for a number of structures in space group  $P2_1/c$  in order to show the discrepancies between the theoretical and experimental probabilities of the triplet sign relations. Furthermore the way in which the apparent practical difficulties in the sign determinations may be remedied is indicated.

### Theory of the probability relations

The theory of the probability relations is based on the assumption that the positions of atoms are random variables. This implies that the theoretical results are averages obtained for all conceivable combinations of atomic positions. It is known that overlap of Patterson peaks decreases the reliability of these results (Cochran, 1958). Thus when probability relations are applied to individual structures it may be expected that structures without Patterson overlap, that is to say structures without physical reality, will give the best fit with the theoretical results. Physically significant structures, however, in which considerable Patterson overlap occurs, will be expected to show discrepancies.

It is not the purpose of this paragraph to go into the details of the theory [see *e.g.* Karle & Karle (1966) and Klug (1958) and the references cited there], but two expressions have to be mentioned here:

(a). The probability of the truth of a sign relation

$$s(H)s(K)s(H-K) = +1 \quad (3)$$

is given by

$$P_+(E_H E_K E_{H-K}) = \frac{1}{2} + \frac{1}{2} \tanh \sigma_3 \sigma_2^{-3/2} |E_H E_K E_{H-K}| \quad (4)$$

in which  $\sigma_n = \sum_{j=1}^N Z_j^n$  ( $N$  is the number of atoms in the unit cell and  $Z$  is the number of electrons of an atom).  
(b). The probability that

$$s(H) = s\left(\sum_K E_K E_{H-K}\right) \quad (5)$$

is given by

$$P_+(H) = \frac{1}{2} + \frac{1}{2} \tanh \sigma_3 \sigma_2^{-3/2} |E_H| \sum_K E_K E_{H-K} \quad (6)$$

Both expressions have been derived by Cochran & Woolfson (1955) using the assumption of randomness. Actually (4) and (6) are approximations and with a more exact treatment Klug (1958) proved that the probability given by (4) is an underestimate, especially for larger values of  $|E_H E_K E_{H-K}|$ .

From (4) it follows that the percentage of failures of the  $\sum_2$  relation for a given value of  $E_3 = \sigma_3 \sigma_2^{-3/2} |E_H E_K E_{H-K}|$  is given by

$$F(E_3) = [1 - P_+(|E_H E_K E_{H-K}|)] \times 100\% \quad (7)$$

The results of Klug (1958) suggests that true percentages of failures should be less than  $F(E_3)$ .

### The reliability of the single-sign relation

Expression (7) has been checked for nine structures of space group  $P2_1/c$ , all except one consisting of nearly equal atoms. The normalized structure factors  $E_H$  were calculated from the measured intensities applying the Wilson temperature and scale parameters. Cell constants, intensity statistics and other relevant information are given in Table 1. Judging from the  $\langle |E|^2 \rangle$  values, the Wilson parameters are reasonable approximations with the exception of structure 4. The signs of the structure factors were taken from the final least-

Table 2. Total number (NR) of triplet sign relations above a variable  $E_3 = \sigma_2^{-3/2} \sigma_3 |E_H E_K E_{H-K}|$  value with the percentage (%) of correct sign relations for nine structures in space group  $P2_1/c$

$E_3$	Structure 1	Structure 2	Structure 3	Structure 4	Structure 5	Structure 6	Structure 7	Structure 8	Structure 9
	NR %	NR %	NR %	NR %	NR %	NR %	NR %	NR %	NR %
15	1 100								
10	8 100								
8	30 100								
6	83 100	1 100					1 100		
5	149 99.3	4 100		3 100		1 100	1 100		
4.0	266 99.6	22 100	2 100	12 100		3 100	7 100	1 100	
3.6	348 99.7	32 100	3 100	19 100	1 100	7 100	13 100	5 100	
3.2	457 99.3	61 100	7 100	34 100	1 100	11 100	25 110	14 100	3 100
2.8	612 99.3	117 100	17 100	66 100	5 100	27 100	48 100	40 100	11 100
2.6	733 98.9	141 100	26 100	91 100	9 100	33 100	77 100	61 100	17 100
2.4	922 98.5	179 99.4	41 100	124 100	17 100	42 100	110 100	87 100	26 100
2.2	1120 98.0	255 99.2	67 98.5	174 100	32 100	67 100	166 98.8	135 100	35 100
2.0	1355 97.4	336 98.8	114 99.1	266 100	52 100	109 100	270 98.1	217 99.1	63 100
1.8	1725 96.5	473 97.1	206 99.5	394 100	103 98.1	177 100	456 96.5	345 98.3	135 99.3
1.6	2235 95.2	706 95.3	349 99.1	597 100	196 96.4	274 99.6	751 96.5	549 97.6	229 99.1
1.4	2935 94.0	1094 94.9	616 97.4	961 99.2	378 96.8	456 97.8	1300 94.3	870 96.8	468 97.9
1.2		1764 93.7	1130 96.3	1597 98.3	763 95.0	813 95.2	2303 93.5	1511 95.8	908 95.6
1.0		2910 92.4	2173 93.9	2782 96.8	1578 92.7	1607 92.8	4206 90.5	2905 93.4	1837 94.0
0.9		3842 91.1	3089 92.1	3798 95.7	2334 91.1	2319 91.1	5796 88.8	4123 92.1	2706 92.8
0.8		5104 89.7	4595 90.1	5299 94.2	3422 88.9	3437 89.2	8202 87.4	5952 89.7	4004 90.9
0.7		6941 87.7	6817 88.0	7509 91.7	5116 87.0	5039 86.5	11660 85.5	8749 87.4	6173 89.2

squares cycles. For 8 structures all triplet relations down to  $E_3 = 0.7$  have been calculated and for structure 1 the lower limit is 1.4. In Table 2 the total number of relations above a variable  $E_3$  value,  $B$ , is given together with the percentage of correct relations. In Fig. 1 the percentage of failures of the  $\Sigma_2$  relation is given for groups of at least 40 triplets relations with  $E_3$  values within  $B + 0.1 \geq E_3 > B$ . The theoretical curve (7) is represented by the solid line  $F$ .

From Fig. 1 and Table 2 it can be seen that the results of the  $\Sigma_2$  relation are fairly divergent. Structure 1 shows a percentage of failures which is about three times as high as the theoretical percentage, whereas the failure curve of structure 4 is better than the theoretical line. Therefore in practice the failure percentages of the  $\Sigma_2$  relation differ to such an extent that the probability formulae (4) and (6) cannot be used as a measure of the correctness of a computed sign.

In our opinion the most important origin of the discrepancy between theory and practice is the fact that atomic positions are not random, but show regularities caused by bonding and packing, which are reflected in an appreciable overlap in the Patterson function. In a subsequent paper we shall demonstrate the effect of order in the atomic parameters by means of model structures.

Another cause of the lack of agreement between theory and practice will be the accidental and systematic errors in the  $|E_H|$  values of real structures. The accidental errors in  $E_H$  are larger for larger values of  $\theta$  and should be used to weight the triple products  $E_3$ . The systematic errors are caused by introducing the Wilson thermal and scale parameters instead of the true absolute scale parameter and individual anisotropic thermal parameters for all atoms. This can be partly remedied by measuring the absolute scale directly (Coppens, 1973) and calculating overall anisotropic corrections to the Wilson temperature parameter (Maslen, 1968). With respect to the latter, one has to be careful because anisotropy in the weighted reciprocal lattice need not be due to anisotropy of the thermal behaviour of the atoms but may be induced by the atomic arrangement instead.

### Reliability of multiple-sign indications

Expression (6) gives the probability of a multiple-sign indication (5) and in view of the results of the preceding paragraph formula (6) will also be unreliable.

In order to solve structures it is necessary to use multiple-sign relations as well as single-sign relations. In our automated procedure a sign indication is accepted if the signs  $s(H)$  of all component single indications are consistent and  $\sum_K E_3 > \text{LIM}$ . In the sign determination of, for instance, structure 2 a value of  $\text{LIM} = 2.6$  would be expected to lead to a correct solution, because according to Table 2 all single relations with  $E_3 > 2.6$  are correct. However in this way a set of in-

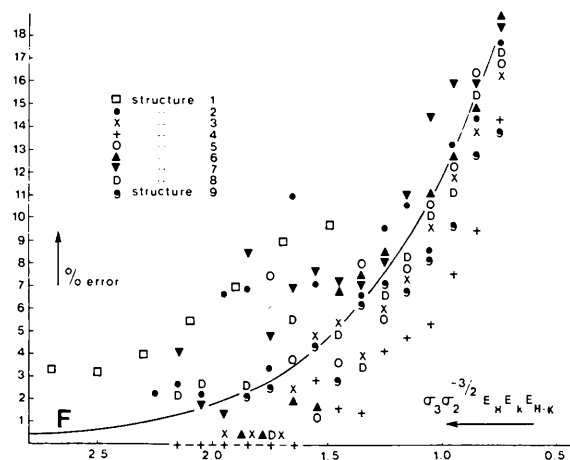


Fig. 1. Percentage of errors of the  $\Sigma_2$  relation as a function of  $E_3 = \sigma_3 \sigma_2^{-3/2} |E_H E_K E_{H-K}|$  for nine structures in  $P2_1/c$ . For reference the curve  $F(E_3)$ , based on the probability formula (4), has been indicated.

correct signs is produced and in fact  $\text{LIM} = 4$  has to be used in order to arrive at the correct solution. From this and other analogous experiences a preliminary conclusion may be that if  $E_3$ , obtained from one single relation, is equal to  $\sum E_3$ , obtained from a multiple one, the reliability is larger for the single relation. This statement cannot at present be formulated quantitatively.

### Structure determination

Although the practical results do not agree with the theory, structure determinations by means of the  $\Sigma_2$  relation should be possible because in all  $\Sigma_2$  sets the percentage of correct information is large (see Table 2). However in order to arrive at the correct solution a sign-acceptance criterion has to be used adapted to the  $E_3$  values.

In our procedure we use  $\sum E_3$  for estimating the reliability of a phase as long as we do not have a better measure. In order to overcome the difficulties described in the preceding paragraph the sign-acceptance criterion  $\sum E_3 > \text{LIM}$  is used with  $\text{LIM}$  chosen such that only the triplets corresponding to the 10 to 20 strongest  $E_3$  values (Table 2) are accepted as correct. All other signs have to be determined by at least two relationships.

Eight out of nine structures could be solved by means of this procedure. In seven structures the solution of best  $\Sigma_2$  consistency proved to be correct. In the sign determination of structure 1 the solution with the third best  $\Sigma_2$  consistency was correct.

The sign determination of structure 7 failed using 7 starting reflexions and  $\text{LIM} = 3.6$  (only the 13 highest  $E_3$  values were used directly). None of the 16 resulting  $\Sigma_2$  solutions contained the correct signs. With  $\text{LIM} = 5.0$  and a starting set of 26 reflexions the sign determination proceeded smoothly. This starting set was con-

structed by means of the mixed triplet and strengthened quartet relationships (Schenk, 1973) on the basis of the same 7 reflexions with an acceptance criterion  $LIM=5.5$ .

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## The Elastic Properties of Beryl

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Using the pulse-superposition method, the second-order elastic stiffnesses of aquamarine were determined to be  $C_{11}=3.085$ ,  $C_{33}=2.834$ ,  $C_{12}=1.289$ ,  $C_{13}=1.185$ ,  $C_{44}=0.661$  Mbar. Results for goshenite beryl are very similar. Initial pressure derivatives were also determined.

The second-order adiabatic elastic stiffnesses of beryl and their initial pressure derivatives at 25°C have been measured by the pulse-superposition method. Previous measurements (Voigt, 1887; Madelung & Fuchs, 1921; Bridgman, 1928; Sundara Rao, 1948) are not in agreement: bulk moduli measurements, for instance, differ by 20%. Chung & Buessem (1968) have questioned the validity of the elastic constants of beryl for a different reason. The compression anisotropy calculated from the elastic coefficients appears inconsistent with anisotropies observed in other hexagonal crystals.

Two Brazilian specimens were used in the investigation, a pale-blue aquamarine and a colorless goshenite beryl. The chemical compositions determined by wet chemical and spectroscopic analyses are presented in Table 1. As expected, the aquamarine is richer in iron, but the goshenite specimen contains more alkali ions. Densities were determined by the hydrostatic weighing method described by Smakula & Sils (1955). The goshenite specimen was slightly denser ( $2.7238 \pm 0.0003$  g cm<sup>-3</sup>) than the aquamarine ( $2.6976 \pm 0.0003$  g cm<sup>-3</sup>) because of the higher concentration of alkali ions and water molecules trapped in the structure. Both densities are significantly larger than the X-ray density of 2.640 g cm<sup>-3</sup> calculated for a hexagonal unit cell measuring  $a=9.215$ ,  $c=9.192$  Å, and containing two ideal formula-units, 2 Be<sub>3</sub>Al<sub>2</sub>Si<sub>6</sub>O<sub>18</sub> (Swanson, Cook, Isaacs & Evans, 1960).

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Table 1. *Chemical analyses of two Brazilian beryls*

Analysts: J. DeVine, J. Bodkin and R. Raver.

	Ideal Be <sub>3</sub> Al <sub>2</sub> Si <sub>6</sub> O <sub>18</sub>	Pale blue aquamarine	Colorless goshenite
BeO	13.96 wt. %	13.60 %	12.66
Al <sub>2</sub> O <sub>3</sub>	18.97	18.20	18.24
SiO <sub>2</sub>	67.07	65.92	65.18
Fe <sub>2</sub> O <sub>3</sub>		0.167	0.006
FeO		0.11	0.08
TiO <sub>2</sub>		0.020	0.011
Li <sub>2</sub> O		0.03	0.69
Na <sub>2</sub> O		0.13	0.79
K <sub>2</sub> O		0.013	0.05
Rb <sub>2</sub> O		0.001	0.021
Cs <sub>2</sub> O		0.075	0.16
H <sub>2</sub> O <sup>+</sup>		1.36	1.68
H <sub>2</sub> O <sup>-</sup>		0.02	0.02

Not detected: MnO, CaO, MgO, Cr<sub>2</sub>O<sub>3</sub>, Nb<sub>2</sub>O<sub>5</sub>, ZrO<sub>2</sub>, SnO<sub>2</sub>, Sc<sub>2</sub>O<sub>3</sub>.

Flawless rectangular prisms about 1 cm on edge were cut from the two large hexagonal crystals using the natural prism and pinacoid faces as a guide. After orientation by the back-reflection Laue method, the crystals were ground and polished with silicon carbide powders and diamond paste. Using specially-designed holders, orientations of better than 10 minutes of arc and flatnesses of  $3 \times 10^{-5}$  cm were achieved. Dimensions were measured with a Lufkin micrometer prior to the determination of the elastic constants from acoustic velocities.

In the pulse-superposition method (McSkimin & Andreatch, 1962; McSkimin, 1965) a high-frequency